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Characterization and Analytical Modeling of GaN HEMT-Based Varactor Diodes

A. Hamdoun, L. Roy, M. Himdi and O. Lafond

Varactor diodes fabricated in 0.5 µm and 0.15 µm GaN HEMT processes are modeled. The devices were characterized via DC and RF small-signal measurements up to 20 GHz, and fitted to a simple physical equivalent circuit. Approximate analytical expressions containing empirical coefficients are introduced for the voltage dependency of capacitance and series resistance. The analytical solutions agree remarkably well with the experimentally extracted C-V curves and can be used as a general model to represent the nonlinear behavior of GaN based varactors.

Introduction: Over the last few years, as a result of advanced heterostructure device design, GaN based semiconductors have demonstrated outstanding performance when compared to other wide band-gap semiconductor technologies [1]. Large power handling capability and high frequency operation are now achievable with good efficiency and linearity. GaN-based HEMT semiconductor devices are no longer limited to RF power amplifier applications, having been extended to other applications such as mixers, phase shifters etc. Furthermore, reconfigurable RF circuits, with high power/frequency, and excellent linearity, are in great demand. Varactors are key components in the design of these circuits. Many GaN varactors have previously been reported, mostly employing a Schottky barrier contact [2] or Metal-Semiconductor-Metal (MSM) junction [3]. In order to achieve good performance, the devices required special epitaxial layers. In this work, a standard HEMT process is employed for varactor realization. We investigate and model the equivalent capacitance \( C_{\text{EQ}} \) and the equivalent series resistance \( R_{\text{eq}} \) of the varactor, when the overall impedance is considered as a simple series RC circuit.

Device design and NRC GaN process: Fig. 1 shows a simplified cross-section of the epitaxial layers of the GaN HEMT process developed by the National Research Council (NRC) of Canada. The GaN500 and GaN150 processes have gate lengths of 0.5 µm and 0.15 µm, respectively. As depicted in Fig. 2, the drain and source terminals of a HEMT device are connected, thus realizing a GaN heterojunction barrier varactor diode without changing the epitaxial masks and layers of the GaN process.

Analysis of Equivalent Circuit model: The physical origins of the varactor device’s equivalent model are shown in Fig. 1. \( R_s, L_s, R_d, L_d, R_l \) and \( L_l \) are, respectively, the metal resistance and the parasitic inductance of the gate, of the drain and of the source. \( R_{\text{eq}} \) is the constant resistor and is determined by the AlGaN layer thickness. \( R_{\text{sheet}} \) refers to the constant channel resistance. \( R_{\text{eq}} \) and \( C_{\text{eq}} \) represent the resistance and capacitance under the depletion region, which are affected by the bias voltage, \( V_G \), and change slightly when the channel starts to deplete. The circuit of Fig. 1 can be transformed to a simple model, as in Fig. 3.

Table 1: GaN Devices Details

<table>
<thead>
<tr>
<th>Device</th>
<th>N</th>
<th>W</th>
<th>L</th>
<th>Process</th>
</tr>
</thead>
<tbody>
<tr>
<td>W40L0.5</td>
<td>2</td>
<td>40µm</td>
<td>0.50µm</td>
<td>GaN500</td>
</tr>
<tr>
<td>W100L0.5</td>
<td>2</td>
<td>100µm</td>
<td>0.50µm</td>
<td>GaN500</td>
</tr>
<tr>
<td>W50L0.15</td>
<td>2</td>
<td>50µm</td>
<td>0.15µm</td>
<td>GaN150</td>
</tr>
<tr>
<td>W100L0.15</td>
<td>2</td>
<td>100µm</td>
<td>0.15µm</td>
<td>GaN150</td>
</tr>
</tbody>
</table>

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Fig. 1 Schematic cross-section of HEMT, showing contacts and epitaxial layers, and physical origin of equivalent circuit at pinch-off (\( V_G \approx 3.75 \text{V} \)).

Fig. 2 (left) HEMT electric elements configured as varactor (right) W40L0.5 device in microwave probable test structure.

To develop a simple accurate small-signal model, four samples are studied, as detailed in Table 1. Where N is the number of fingers, W and L are the width and the length of finger.

Fig. 3 Varactor equivalent circuit model

\[ L_{\text{para}}, R_{\text{eq}}, C_{\text{eq}} \text{ and } R_{\text{sheet}} \text{ are respectively the parasitic inductor, the equivalent series capacitance and the leakage resistor (~10Ω). As can be seen in Figs. 4-5, an excellent match between the experimental and the simulated results is achieved.} \]

Fig. 4 Measured (lines) and Modeled (Dot) S-parameters [0.1-20 GHz]

Analytical Expressions of \( R_{\text{eq}} \) and \( C_{\text{eq}} \): At an operating frequency \( f \), the \( C_{\text{eq}} \) values were extracted from the Y-parameters using the equation (1).

\[
C_{\text{eq}} = \frac{Y(1,1)}{2\pi f}
\]  

(1)

For both GaN500 and GaN150, the extracted equivalent capacitance values versus \( V_G \) are shown in Figs. 6-7 (red dotted lines). In order to describe the nonlinear behavior of \( C_{\text{eq}} \) and of \( R_{\text{eq}} \) analytical equations based on empirical coefficients are derived from the measurements.

- Describing the behavior of \( C_{\text{eq}} \):
  - The exponential of tangent hyperbolic function yields an approximate analytical expression for \( C_{\text{eq}} \) versus \( V_G \). It is seen that (2) accurately describes the dependency of junction capacitance upon applied reverse bias, \( V_G \), for all characterized devices.

\[
C_{\text{eq}} = 60 \frac{A}{M} \left( 1 + \frac{1}{K} \right) \left( e^{\tan h(2.5 V_G+11)} + K \right)
\]  

(2)

\[ A = NWL \]  

(3)
• Describing the behavior of $R_{eq}$:

The $R_{eq}$-$V_G$ characteristics is a Gaussian form, as deduced from Figs. 8-9 (red dotted lines). Thus the $R_{eq}$-$V_G$ characteristics are taken to be:

$$R_{eq,GaN500} = \frac{44}{A} \left(\frac{1}{Wd Y^{-8.10^{-6}}}\right) (e^{-2(V_G+4.35)^2}) + Y$$  \hspace{1cm} (4)

$$R_{eq,GaN150} = \frac{1}{6(A Y^{-8.10^{-6}})} (e^{-2(V_G+4.35)^2}) + Y$$  \hspace{1cm} (5)

Where $A$ is the finger area. The parameters $M$, $K$ and $Y$ can be adjusted to properly fit the $C_{eq}$ and $R_{eq}$ equations to the measured characteristics of an arbitrary varactor size. According to Matlab simulations, the values of $M$, $K$ and $Y$ can be fixed, respectively, as 1.2, 40 and 14 for GaN500 devices and as 0.45, 20 and 4.75 for GaN150 devices. The modeled curves are plotted in Figs. 6-7-8-9 (blue lines). All of these equations are dependent only on finger geometry (number, width and length of fingers) and on $V_G$. Equation (2) illustrates the behavior of $C_{eq}$ for GaN500 and GaN150 devices, (4) describes $R_{eq}$ for GaN500 devices, while (5) describes $R_{eq}$ for GaN150 devices. These equations describe the experimental results adequately under small signal.

From Figs. 6-7, all $C_{eq}$-$V_G$ curves exhibit the same general behavior, which can be separated into three regions: range (1) for $V_G$ above -3.75V, range (2) for -4.5V $\leq V_G \leq$ -3.5V and range (3) for $V_G < -4.75$ V.

For range (1) and range (3) corresponding to the device’s $C_{MAX}$ and $C_{MIN}$, respectively. The capacitance values do not change significantly. However, in range (2), the capacitance value changes appreciably and rapidly over a small DC bias range from -4.5 V to -3.75 V. Based on these observations, we conclude that the capacitance tuning range is limited, and the region of variable capacitance exists only over a small reverse voltage of 1V. The $C_{eq}$ is given by the following equation:

$$C_{eq} = \frac{A \varepsilon_r}{d}$$  \hspace{1cm} (6)

Where, $\varepsilon_r$ is the permittivity of the material, $d$ is the distance between surface and 2DEG channel (or the depth of the depletion region). From equation (6), the capacitance value depends on $d$. In other words, when $d$ varies, this leads to a change in the capacitance value. Furthermore, the capacitance value depends on $A$. The variation in $d$ is controlled by $V_G$ and leads to the change in $C_{eq}$ which can be summarized as follows:

Range (1): Before the channel starts depleting; $C_{eq}$ is at its maximum value where the depletion region reaches its minimum ($d_{min}$).

Range (2): The channel starts depleting until it is completely void. The depleted region in the channel expends quickly, resulting in a rapid decrease of $C_{eq}$.

Range (3): The channel is completely depleted; $C_{eq}$ is at its minimum values where the depletion region reaches its maximum ($d_{max}$).

The equivalent series resistor, $R_{eq}$, contains all the resistors ($R_{on}$, $R_{off}$, $R_{dep}$, $R_e$, $R_a$, and $R_0$). In range (1), before the channel starts being depleted, there is no depletion resistor ($R_{dep} = 0$), leading to a constant $R_{eq}$. In range (2) when the channel starts depleting, $R_{dep}$ becomes important and increases until the channel is close to being fully depleted, resulting in $R_{eq}$ variation. Over range (3), when the channel is fully depleted, $R_{dep}$ goes to 0 resulting in a constant $R_{eq}$ determined by extracting the real part of Z in the equivalent model. From Figs. 8-9, excellent agreement is obtained, except in range (1) of the GaN150. A slightly more complicated model would be required to capture the higher measured $R_{eq}$ GaN150 is still under development.

**Fig. 8** Extracted and Simulated $R_{eq}$ versus $V_G$ at 2.5 GHz for GaN500

**Fig. 9** Extracted and Simulated $R_{eq}$ versus $V_G$ at 2.5 GHz for GaN150

A comparison between the two GaN processes is presented in Table 2:

<table>
<thead>
<tr>
<th></th>
<th>GaN500</th>
<th>GaN150</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capacitance</td>
<td>2.72fF/µm</td>
<td>1.5fF/µm</td>
</tr>
<tr>
<td>$C_{MAX}$/Ceq</td>
<td>2.4</td>
<td>3.7</td>
</tr>
<tr>
<td>Tuning Voltage</td>
<td>-4.5 V to -3.75 V</td>
<td>-4.5 V to -3.75 V</td>
</tr>
<tr>
<td>Figures of Merit:</td>
<td>for $F_{cut}$- at f=2.5GHz and $V_G$=5V</td>
<td>for $F_{cut}$- at f=2.5GHz and $V_G$=5V</td>
</tr>
<tr>
<td></td>
<td>419.2 GHz</td>
<td>770.6 GHz</td>
</tr>
<tr>
<td></td>
<td>W100L0.5</td>
<td>W50L0.15</td>
</tr>
</tbody>
</table>

**Conclusion:** GaN-based varactors were designed in a standard GaN HEMT process, and were fabricated with two different gate lengths, 0.5 µm and 0.15 µm. By analyzing the extracted C-V curves, mathematical expressions have been proposed to capture the nonlinear behavior that can be used to represent a variety of different GaN based varactor sizes. These equations maintain the simplicity of equivalent circuit modeling while offering good agreement with measurements. In addition, an equivalent simple circuit model was proposed and validated, yielding good agreement between the simulated and the measured results. The obtained $C_{MAX}$/Ceq ratios and figures of merit compare favorably with recently reported GaN varactors. From the presented results and given GaN’s high breakdown voltage, these varactors would be very useful in various applications in receive mode, where power limiters could be completely excluded as protection circuitry from jammer signals.

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References