Different dominant transitions in holmium and ytterbium codoped oxyfluoride glass and glass ceramics originating from varying phonon energy environments

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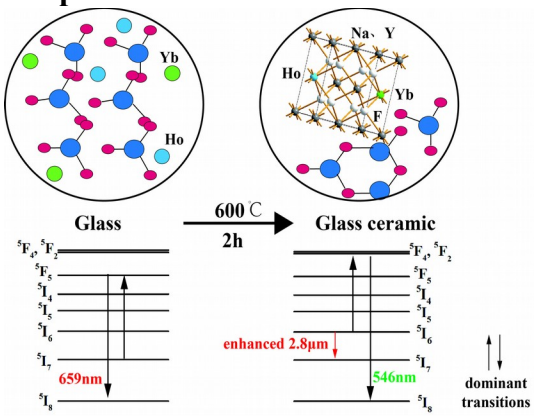
Abstract: Transparent oxyfluoride glass and glass ceramic doped with 0.5% Ho^{3+} and 1.0% Yb^{3+} ions have been prepared. X-ray diffraction and transmission electron microscopy demonstrated the formation of $NaYF_4$ nanocrystals during heat treatment process. Raman spectra indicated the variation of glass structure brought about by the formation of $NaYF_4$ nanocrystals. XRD curves and Judd–Ofelt intensity parameters confirmed the incorporation of Ho^{3+} into $NaYF_4$ nanocrystals. Significantly enhanced visible upconversion and 2.85 μm emissions were achieved in glass ceramic under a 980 nm laser diode pumping. A broadband spectrum with a full-width at half-maximum close to 132 nm was obtained in the glass ceramic. Besides, the calculated peak emission cross section is 0.6×10^{-20} cm^2, suggesting the glass ceramic is a promising gain material that can be applied to broadband amplifiers in mid-infrared region. Furthermore, energy transfer mechanisms in glass and glass ceramic were proposed based on visible to mid-infrared emission spectra. It was found that the change of photon energy environment around rare earth ions induced different dominant transitions in glass and glass ceramic. Finally, the influence of phonon energy on transition processes was further quantitatively investigated, which may provide useful guidance for obtaining highly efficient 2.85 μm emission of holmium.

Graphical abstract:



Key words: glass and glass ceramic; upconversion and 2.85 μm emissions; phonon energy; energy transfer

1. Introduction

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Mid-infrared lasers operating around 3 μm region are of particular interests not only for military, medical and dental applications but also for scientific research, since 3 μm laser source can be used as efficient laser pump sources for longer-wavelength laser oscillators[1-5]. Compared with other kinds of lasers, rare earth doped fiber laser has shown better performance due to its compact and flexible configuration, excellent beam quality and power scalability[3, 6].

The lasing wavelength is determined by the fluorescence transitions of the rare-earth cation doped into the host materials. For around 3 μm lasers, it can be acquired by the transition $^4I_{11/2}\rightarrow{}^4I_{13/2}$ of Er^{3+} (2.75 μm), the transition $^5I_6\rightarrow{}^5I_7$ of Ho^{3+} (2.85 μm) or the transition $^6H_{13/2}\rightarrow{}^6H_{15/2}$ of Dy^{3+} (2.9 μm). Unfortunately, the low output power (0.1 W) of Dy^{3+} doped ZBLAN fiber has restrained its further application[2]. Compared with Er^{3+}, Ho^{3+} has shown the advantages of high efficiency[7], high power[8] and broad tunability[9]. Due to the lack of readily commercialized laser diodes (LD) corresponding to the intrinsic absorption of Ho^{3+} ions, obtaining efficient 2.85 μm laser has necessitated the use of other sensitizers[1,9,10] such as Pr^{3+}, Er^{3+} and Yb^{3+}. Among them, the large absorption cross section[11], long emission lifetime and simple electronic level structure of Yb^{3+} have made it as an appropriate and compelling sensitizer for transferring pumped energy to Ho^{3+}.

A number of alternative glasses such as germanate[12, 13], tellurite[14], silicate-germanate[15] and fluoride glasses[2], have been researched as suitable host materials for mid-infrared lasers. Among these glass materials mentioned above, the practicality of tellurite and germanate glasses is limited by their high cost and insufficiently low concentration of OH^- in the glasses. Despite various rare earth doped ZBLAN fiber lasers have been demonstrated good emission performances in 3 μm, ZBLAN fiber is fragile and has low damage threshold together with short useful length [3]. Silicate glasses, which are characterized by inexpensive manufacturing cost and excellent thermal stability, remain the most successful fiber host materials in acquired 1~2.2 μm lasers[16-18]. However, large maximum phonon energies of silicate glasses set an upper limit on the emission wavelength and induce multiphonon assisted non-radiative decay, which is mainly responsible for unsatisfied emission efficiency[16]. Even oxyfluoride silicate glass, which is a kind of silicate glass with the incorporation fluoride, could not solve this problem effectively[19]. Fortunately, transparent oxyfluoride silicate glass ceramics are capable of well solving this intrinsic difficulty attributed to embedded fluoride nanocrystals, which have superb fluorescent emission efficiency in mid-infrared region owing to its low phonon energies[20, 21]. It has been reported that efficient 2.85 μm emission was observed in glass ceramic containing PbF_2 nanocrystals[22], however, it is not satisfied with requirements of environmental protection. Our group has demonstrated that the efficient upconversion and 2.7 μm emissions of Er^{3+} can be achieved in glass-ceramic containing $NaYF_4$ nanocrystals[23], thus a 2.85 emission of Ho^{3+} is also believed to be efficient in this kind of glass ceramic.

Our work is dedicated to two important and urgent problems facing rare earth doped glass ceramics. To date, enormous attention has been paying to the upconversion and around 2 μm emissions in Ho^{3+}/Yb^{3+} co-doped glass ceramic[24, 25].

However, to our best knowledge, there are few results focusing on the 3 μm emissions and it is very necessary to develop new 3 μm laser gain materials. In addition, from glass to glass ceramic, the change of crystal field environment around rare earth ions induces different dominant transitions since various transitions are inherently linked. However, few reports take sufficient emissions into consideration when analyzing energy transfer process to achieve an efficient 3 μm emission. Therefore, a detailed investigation of the different energy transfer mechanisms in glass and glass ceramic can be useful for the fluorescence enhancement in 3 μm emission and the final desired applications.

In this work, we prepared Ho^{3+}/Yb^{3+} co-doped oxyfluoride glass and glass ceramic with $NaYF_4$ nanocrystals. The 2.85 μm emission in Ho^{3+}/Yb^{3+} co-doped oxyfluoride silicate glass ceramic with the nanocrystalline phase of $NaYF_4$ was firstly reported. Based on measured fluorescence spectra (visible region, 1.2 μm, 2 μm and 2.85 μm) of Ho^{3+}, we discussed energy transfer mechanisms and quantitatively estimated energy transfer efficiency in glass and glass ceramic.

2. Experiment

The glass and glass ceramic without rare earth doping were prepared to investigate the thermal and morphological characteristics as well as the glass structure. The Ho/Yb codoped glass and glass ceramic were prepared to study the spectroscopic properties and the transition behavior of Ho^{3+} and Yb^{3+} ions. The precursor glass materials have the following moral composition: $40SiO_2$-$25Al_2O_3$-$15Na_2CO_3$-10NaF-$10YF_3$-2x Yb_2O_3-x Ho_2O_3 (x=0, 0.25), the samples are denoted as G0, G, GC0 and GC, for the glass and glass ceramic, respectively. The raw materials, which were analytically pure (99.99% purity or higher), were mixed homogeneously and melted in a covered platinum crucible in a pit furnace at 1450°C for 30 min. Then, the melts were quenched on preheated stainless steel plated before being annealed at 500◦C for 2 h to release inner stress. Later the glass was cut into two pieces and one piece of glass was put into an oven at 600◦C for heat treatment. Finally, the glass and the glass ceramic were cut and polished into the glass samples with thickness of 1 mm for optical and spectroscopic properties measurements.

Differential scanning calorimeter (DSC) was collected by NETZSCH DTA 404 PC at heating rate of 10 K/min. X-ray powder diffraction (XRD) patterns were recorded on a Rigaku D/max2550 diffractometer with Cu-Kα1 as the incident radiation source. Raman spectra were measured by a Raman spectrometer from Renishaw (inVia, UK) excited by 532nm laser. The micrographs of prepared glass ceramic were measured by transmission electron microscope (TEM, FEI TF20). Absorption spectrum was recorded by means of a PerkinElmer Lambda 900UV–vis–NIR spectrophotometer in the range of 350-2200 nm with a resolution of 1 nm. Fluorescence spectra (1700-2300 nm and 2600-3200 nm) had been measured with a computer-controlled Triax 320 type spectrometer and detected with a liquid-nitrogen-cooled PbS detector upon excitation

by 980 nm LD. All the measures were taken at room temperature and other conditions were kept as same as possible.

3. Results and Discussions

3.1 Thermal and morphological characteristics

Fig. 1 presents the DSC curve of G0, by which the characteristic temperatures were determined. The weak exothermal peak at 622°C is caused by the crystallization of fluoride crystals of the glass matrix, while the strong exothermal peak at 728°C can be attributed to the crystallization of silicate oxides of the glass matrix. The heat treatment temperature was chosen to moderate 600°C in order to remain the sample transparent and the crystal phase stable. The values of T_g and T_{x2} are 529°C and 669°C, respectively. The large ΔT (ΔT =T_{x2}-T_g=140°C) of the glass suggests that it has a good thermal stability, which is favorable for the fiber drawing.

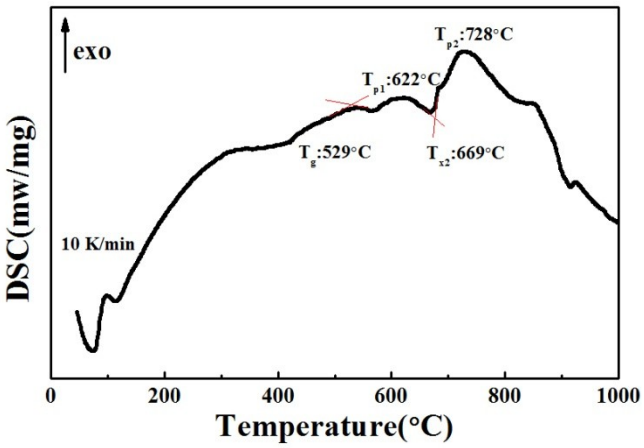


Figure 1 DSC curve of oxyfluoride silicate glass host.

Fig. 2 shows the XRD patterns for the glass and glass ceramics. There are no crystalline peaks in the XRD curve of the precursor glass due to its amorphous structure. However, obvious diffraction peak signals ascribed to $NaYF_4$ (PDF#06-0342) are observed in the XRD curve of the glass ceramics, confirming the formation of $NaYF_4$ nanoparticles within the glass matrix during the heat treatment. Ho^{3+} or Yb^{3+} ions tend to occupy the cationic sites (Y^{3+}) in the $NaYF_4$ nanocrystals due to their size and charge similarity. It can be seen that the peak position is slightly shifted to the right from GC0 to GC, owing to partial substitution of Y^{3+} (ionic radius 0.09 nm) cations by Yb^{3+} (ionic radius 0.0868 nm) ions leading to lattice contraction. Therefore, the shift of peak position indicates that the rare earth ions enter into $NaYF_4$ nanocrystals. Besides, in the Yb/Ho codoped glass system, if the concentration of rare earth ions is too small, the absorption coefficient at around 980nm would be greatly reduced, resulting in lower emission intensity at 2.85 μm. On the contrary, if the concentration of rare earth ions is too large, the formation of $NaYF_4$ nanocrystals was suppressed, which was reflected in the diffraction peak intensities of GC0 and G0 samples. So a middle-ground approach was taken in our experiment, the concentration of Yb^{3+} and Ho^{3+} ions were 1.0 mol% and 0.5 mol%, respectively.

TEM analysis of GC0 sample was carried out to obtain size, morphology and direct imaging of $NaYF_4$ nanocrystals. The 15-35 nm sized and spherical crystallites in the TEM picture correspond to $NaYF_4$ nanocrystals, as shown in Fig. 2(b), are homogenously distributed in the glass matrix. Clear lattice fringes of HRTEM image in Fig. 2(c) indicates the high crystallinity of $NaYF_4$ nanocrystals.

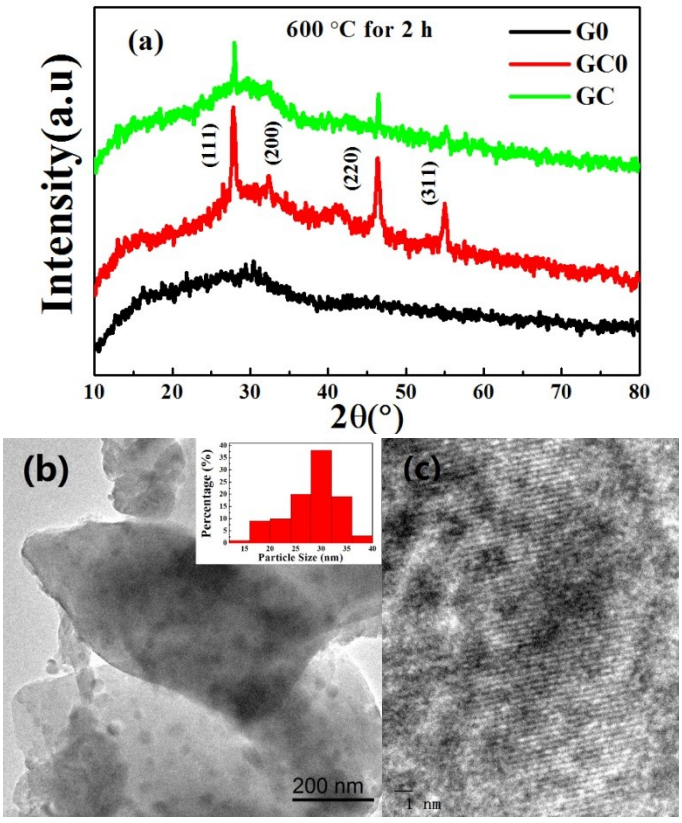


Figure 2 (a) presents the XRD pattern of glass and glass ceramics. (b) and (c) present the TEM and HRTEM picture of GC0 sample, respectively. The inset of (b) is the size distribution histogram of GC0 sample.

3.2 Raman spectra

The raman spectra of G0 and GC0 are shown in Fig. 3. A high-frequency band at 900-1200 cm^{-1} corresponding to Si-O-Si asymmetric stretching vibration, a weak peak at 794 cm^{-1} caused by Si-O-Si bend vibration and a mid-frequency band at 300-600 cm^{-1} associated to Si-O-Si symmetric stretching vibration can be observed in the glass and glass ceramic[26]. However, four sharp peaks were emerged in the spectrum of the glass ceramic when compared with that of the glass. It was reported that the peak at the low-frequency region can be attributed to the vibration of cation-fluorine bond[27], thus the peak at 244 cm^{-1} and 324 cm^{-1} should be ascribed to the vibration of Na-F bond and Y-F bond in $NaYF_4$ nanocrystals. Other studies[28, 29] have uncovered similar results in vibrational modes of $NaYF_4$ nanocrystals. In addition, the peak at 425 cm^{-1}, 493 cm^{-1} and 592 cm^{-1}, which are very weak and generally difficult to detect in silicate glass, were observed in this glass ceramic. The peak at 425 cm^{-1} is assigned to a symmetric ring-breathing mode involving mainly oxygen motion, whereas the peak at

493 cm^{-1} and 592 cm^{-1} are related respectively to the symmetric stretch of planar threefold and fourfold ring structures[30]. Therefore, the broken glass network due to the formation of $NaYF_4$ nanocrystals are connected thorough linking SiO_4 tetrahedra to form various-membered interconnected rings[31].

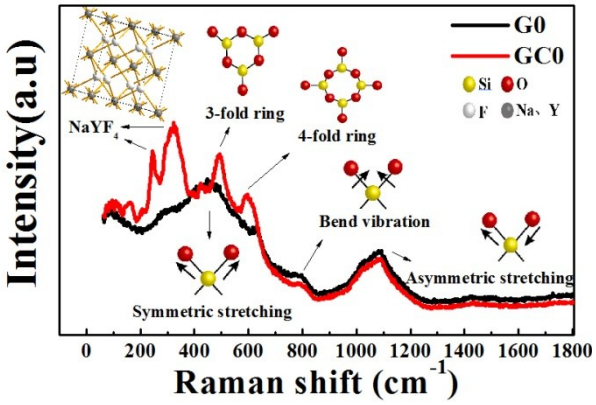


Figure 3 Raman spectra of glass and glass ceramic, inset indicates vibration modes corresponding to the raman vibration peaks.

3.3 Absorption spectra and Judd-Ofelt analysis

Fig. 4 indicates the absorption spectra of G and GC in the range of 350-2200nm at room temperature, and some absorption bands of Ho^{3+} corresponding to the $4f^{12}$–$4f^{12}$ intra-configurational electronic transitions from the ground state $^5I_8$ to the higher exited states are labeled. The strong absorption of Yb^{3+} at 980 nm suggests that G and GC can be effectively pumped by readily available commercial 980 nm laser diodes. It is noted that compared to that of G, the ultraviolet absorption cut-off wavelength of GC shows a red shift, which is due to light scattering (Rayleigh scattering) of nanocrystals in GC[25, 32]. Using the measured absorption spectra and Judd-Ofelt (J-O) theory[33, 34], the J-O parameters Ω_t (Ω_2, Ω_4 and Ω_6) were determined through least-square fit and were listed in inset of Fig. 4. For the calculation of J-O parameters, the density of the glass and glass ceramic was measured as 2.857 g/cm^2 and 2.851 g/cm^2, respectively. According to the theory, Ω_2 is strongly dependent on the local environments of rare earth ions sites, and it decreases with the rare earth ions enter from oxide glass matrix into fluoride crystal environment which has better symmetry and weaker covalency[35]. The Ω_2 of GC is smaller than that of G, confirming the incorporation of Ho^{3+} into $NaYF_4$ nanoparticles.

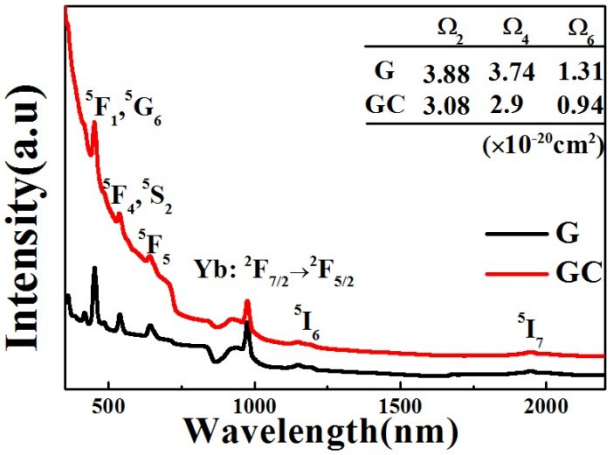
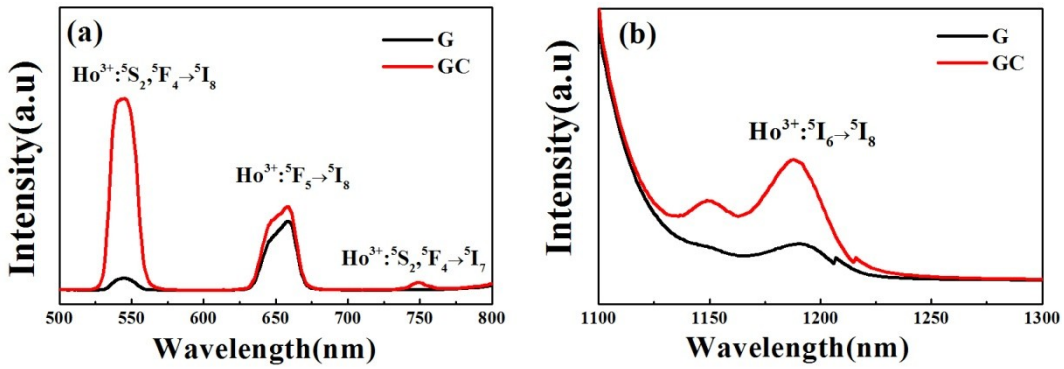


Figure 4 Absorption spectra of G and GC samples. The inset lists the Judd-Ofelt parameters of Ho^{3+} in G and GC samples.

3.4 Emission spectra and emission cross section

As is clearly seen in the Fig. 5(a), there are three visible upconversion emission bands, whose peaks are located at 546 nm, 659nm and 752nm corresponding to Ho^{3+}: $^5S_2$,$^5F_4 \rightarrow {}^5I_8$, $^5S_2 \rightarrow {}^5I_8$ and $^5S_2$,$^5F_4 \rightarrow {}^5I_7$ transitions. Due to significant enhancement of upconversion emission in glass ceramic, glass ceramic presents green but glass appears red under 980 nm LD pump, which can be observed by naked eyes. The 1.2 μm emission spectra of G and GC are illustrated in Fig. 5(b). Apparent enhancement of 1.2 μm emission was also observed in glass ceramic. Besides, a small peak at 1150 nm was observed in GC, which may be caused by the energy level splitting of $^5I_6$ level in the crystal field. The 2 μm emission intensity of glass ceramic was slightly decreased compared with that of glass, as shown in Fig. 5(c). Fig. 5(d) shows a great enhancement of 2.85 μm emission of Ho^{3+} in GC compared with that in G. Besides, the full-width at half-maximum (FWHM) is close to 132 nm at 2.85 μm emission.



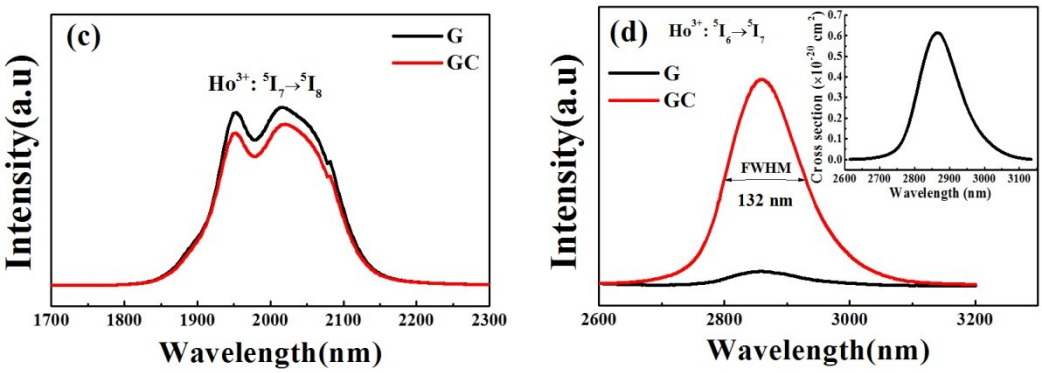


Figure 5 (a) shows the visible upconversion emission spectra, (b) shows the 1.2 μm emission spectra, (c) shows the 2 μm emission spectra and (d) shows the 2.85 μm emission spectra of glass and glass ceramic. The inset of (d) is the corresponding emission cross section of Ho^{3+} in glass ceramic.

The emission cross section is an important criterion for evaluating the quality of laser gain materials. The 2.85 μm emission cross section is subsequently calculated by the Füchtbauer -Ladenburg equation[36]:

$$\sigma_{em}(\lambda)=\frac{\lambda^5 A_{rad}}{8\pi cn^2}\frac{I(\lambda)}{\int \lambda I(\lambda)d\lambda} \qquad (2)$$

where λ is the wavelength, I(λ) is the fluorescence intensity, I(λ)/ $\int \lambda I(\lambda)d\lambda$ is the normalized line shape function of the experimental emission spectrum, A_{rad} is the radiative transition probability, c and n are the light speed and the index of refraction(here the value is 1.54), respectively.

The calculated peak emission cross section at 2.85 μm is 0.6×10^{-20} cm^2, which is larger than that of GGG crystal (0.3×10^{-20} cm^2)[37] and ZBLAN glass (0.5×10^{-20} cm^2)[38] but smaller than that of fluorotellurite glass (1.51×10^{-20} cm^2)[39] and $Ge_{20}Ga_5Sb_{10}S_{65}$ glass (1.67×10^{-20} cm^2)[40]. The large emission cross sections of latter two glasses can be ascribed to their high refractive index. Considering the broadband spectrum of GC, this kind of glass ceramic is predicted to be a promising gain material that can be applied to broadband amplifiers in mid-infrared region.

3.5 Energy transfer

The energy level diagrams of Ho^{3+} and Yb^{3+} and energy transfer mechanism from Yb^{3+} to Ho^{3+} are depicted in Fig. 6.

(1)The ions in the Yb^{3+}: $^2F_{7/2}$ level are pumped to the $^2F_{5/2}$ level via ground state absorption (GSA: Yb^{3+}: $^2F_{7/2}$ + a phonon→$^2F_{5/2}$) when excited by commercial 980nm LD.

(2)Subsequently, Yb^{3+}: $^2F_{5/2}$ transfer its energy to the Ho^{3+}: $^5I_6$ levels via an ET1 (Yb^{3+}: $^2F_{5/2}$ + Ho^{3+}: $^5I_8$→Yb^{3+}: $^2F_{7/2}$ + Ho^{3+}: $^5I_6$) process, owing to a small energy mismatch between them.

(3)On the one hand, the Ho^{3+} ions in $^5I_6$ level can be populated to the higher $^5F_4$ or $^5S_2$ level by excited state absorption (ESA1: $^5I_6$ + a phonon→$^5F_4$ or $^5S_2$) or energy

transfer (ET2: Yb^{3+}: $^2F_{5/2}$ + Ho^{3+}: $^5I_6$→Yb^{3+}: $^2F_{7/2}$ + Ho^{3+}: $^5F_4$ or $^5S_2$) process.

(4)Subsequently, the Ho^{3+} ions in $^5F_4$ or $^5S_2$ level radiate their energy transfer to metastable state ($^5I_7$) and ground state ($^5I_8$), generating 546 and 753 nm light emission ((4a): $^5F_4$($^5S_2$)→$^5I_8$ + 546 nm; (4b): $^5F_4$($^5S_2$)→$^5I_7$ + 753 nm).

(5)On the other hand, the Ho^{3+} ions in $^5I_6$ level can radiate their energy transfer to metastable state ($^5I_7$) and ground state ($^5I_8$), generating 2.85 and 1.2 μm light emission ((5a) : $^5I_6$→$^5I_7$ + 2.85 μm; (5b): $^5I_5$→$^5I_8$ + 1.2 μm).

(6)The Ho^{3+} ions in $^5I_7$ level can be populated to the higher $^5F_5$ level by excited state absorption (ESA2: $^5I_7$ + a phonon→$^5F_5$) or energy transfer (ET3: Yb^{3+}: $^2F_{5/2}$ + Ho^{3+}: $^5I_7$→Yb^{3+}: $^2F_{7/2}$ + Ho^{3+}: $^5F_5$) process.

(7)Subsequently, the Ho^{3+} ions in $^5F_5$ level radiate their energy transfer to ground state ($^5I_8$), generating 657nm light emission ($^5F_5$→$^5I_8$ + 657 nm).

(8)What's more, the ions in the $^5I_7$ level can also radiate their energy transfer to ground state ($^5I_8$), generating 2 μm light emission ($^5I_7$→$^5I_8$ + 2 μm).

In the oxyfluoride silicate glass, Ho^{3+} ions are easily coupled to the non-bridging oxygen on the strong O–Si or O–Al bonds, which have high phonon energy (1100 cm^{-1}). The energy gap between $^5I_6$ and $^5I_7$ levels is about 2551 cm^{-1}, nonradiatively relax is easy to occur with only two or three phonons assisted. Thus Ho^{3+} ions in $^5I_6$ level are easy to nonradiatively relax to $^5I_7$ level through multi-phonon assisted process, leading to weak 2.85 μm emission in G. Therefore, (6) and (7) transition processes are dominant in the oxyfluoride silicate glass, which were demonstrated by the upconversion emission spectrum of G.

In the glass ceramic, Ho^{3+} ions enter into fluoride nanocrystals, which have low phonon energy (324cm^{-1}). Multi-phonon assisted nonradiatively relax rate is greatly decreased, causing enhanced 1.2 μm emission and significant enhancement of 2.85 μm emission in GC. Accordingly, (3) and (4) transition processes are dominant in the glass ceramic, which were proved by the upconversion emission spectrum of GC. The Ho^{3+} ions at $^5I_7$ level are decreased with more Ho^{3+} ions participate in (3) transition process, resulting in the decrease of Ho^{3+} ions performing (8) transition process, which was confirmed by the slightly reduced 2 μm emission in GC sample.

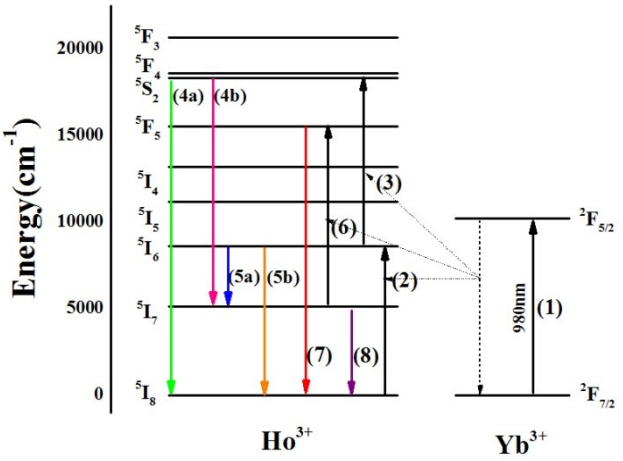


Figure 6 Energy level diagrams and energy transfer mechanism from Yb^{3+} to Ho^{3+}.

The fluoride nanocrystals provide a low-phonon-energy environment for Ho^{3+} ions,

which can effectively restrict the multi-phonon relaxation of excited levels and thus enhance upconversion and mid-infrared emissions. However, it may also limit the energy transfer probability of Yb^{3+} ions to Ho^{3+} ions in case of non-resonant energy transfer processes, which are generally governed by emission or annihilation of host phonons[28]. Hence, it is necessary to quantitatively investigate the energy transfer process further, which can be evaluated by the calculations of the absorption and emission cross sections of Yb^{3+} and Ho^{3+} ions using Dexter's theory. For a dipole–dipole interaction, the microscopic transfer probabilities between rare-earth ions can be expressed as[41]:

$$W_{D-A}(R)=\frac{C_{D-A}}{R^6}$$

where D and A represents the donor ions and the acceptor ions, respectively. R is the distance between them. The energy transfer constant can be expressed $C_{D\text{-}A}$, which is shown as follows[41]:

$$C_{D-A}=\frac{R_C^6}{\tau_D}$$

In this formula, R_C is the relative radius of the interaction, τ_D is the intrinsic lifetime. When considering phonon participation, the energy transfer coefficient (C_{D-A}) can be determined by the following equation[41]:

$$C_{D-A}=\frac{6cg_{low}^{D}}{(2\pi)^4 n^2 g_{up}^{D}}\sum_{m=0}^{\infty} e^{-(2\acute{n}+1)S_0}\frac{S_0^m}{m!}(\acute{n}+1)^m \int \sigma_{ems}^{D}\,¿ \quad \int_{\lambda_m^{¿}}^{+¿} |¿^{A}|(\lambda)\,d\lambda \quad \sigma_{¿}$$

where c is the light speed, n is the refractive index, g_{low}^{D} and g_{up}^{D} are the degeneracy of the lower and upper levels of the donor, respectively. $\hbar\omega_0$ is the maximum phonon energy, $\acute{n}$ is the average occupancy, m is the number of the phonons participating in the energy transfer, S_0 is the Huang-Rhys factor and $\lambda_m^{¿}$ $=1/(1/\lambda\text{-}m\hbar\omega_0)$ is the wavelength with m phonon creation.

The refractive index of G and GC is 1.5415 and 1.5408, respectively. The phonon energy has been taken as 1100 cm^{-1} for oxyfluoride glass and 324 cm^{-1} for the fluoride nanocrystals, as determined by raman spectra. Based on the J-O parameters of Ho^{3+} in G and GC, the radiative transition probability of $^5I_6 \rightarrow {}^5I_8$ process in G and GC is calculated to be 130.39 s^{-1} and 94.74 s^{-1}, respectively. The absorption and emission cross sections of Ho^{3+} at 1.2 μm and Yb^{3+} at 980 nm in G and GC were calculated by measured absorption spectra and emission spectra based on Füchtbauer–Ladenburg equation[36] and Beer-Lambert law[42], as presented in Fig. 7.

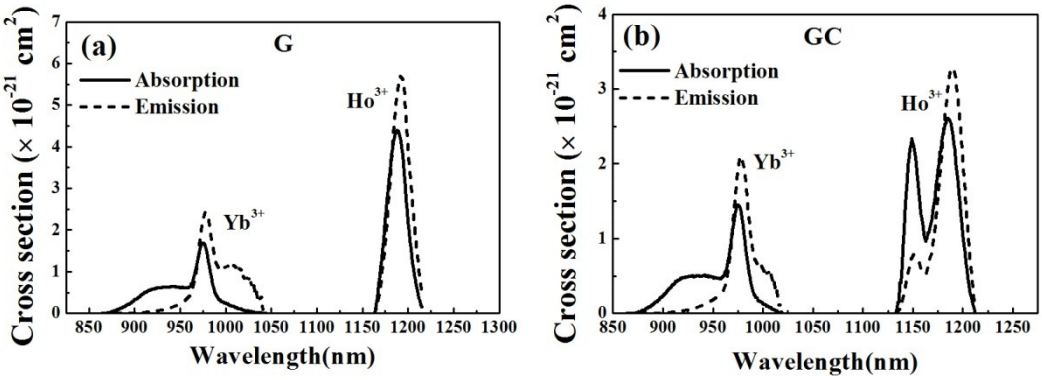


Figure 7 Absorption and emission cross sections of Ho^{3+} at 1.2 μm and Yb^{3+} at 980 nm in the (a) oxyfluoride glass and (b) the glass ceramic.

Table 1 tabulated the energy transfer microscopic parameters and the number of phonons necessary to assist the energy transfer process in Yb^{3+}/Ho^{3+} codoped glass and glass ceramic. One can find that hardly no phonons assistance for the energy transfer of Yb^{3+}:$^2F_{5/2}$→Yb^{3+}:$^2F_{5/2}$ process in both G and GC. However, the proportion of zero phonon participate the energy transfer of Yb^{3+}:$^2F_{5/2}$→Ho^{3+}:$^5I_6$ process in G and GC is only 27.19% and 51.17%, respectively. Furthermore, the energy transfer microparameters C_{DA} of Yb^{3+}:$^2F_{5/2}$→Ho^{3+}:$^5I_6$ process in GC was 0.59×10^{-41} cm^6/s, which was much smaller than that in G (16.94×10^{-41} cm^6/s). Therefore, the effect of low phonon energy in restricting the multi-phonon assisted nonradiative relaxation has a greater impact than the effect of low phonon energy in limiting energy transfer on the mid-infrared emission. The result that the silicate host contributes approximately several orders of magnitude more than that of the fluoride host was also found in Nd^{3+}→Yb^{3+} phonon assisted energy transfer process[28]. The significantly enhanced (3) transition process in fluoride nanocrystals, which was reflected by great enhancement in 546 nm on spectrum, was mainly responsible for this. Nevertheless, the energy transfer microparameters C_{DD} of Yb^{3+}:$^2F_{5/2}$→Yb^{3+}:$^2F_{5/2}$ process was not decreased so much. It indicated that the change of phonon energy environment has a greater impact on phonon-assisted non-resonant energy transfer process than on resonant energy transfer process. As the upconversion and mid-infrared emissions were both enhanced in a low phonon energy environment, the results suggest one can try to restrain (3) transition process to obtain high efficient 2.85 μm emission of Ho^{3+}.

Table 1 Energy transfer microparameters of Yb^{3+} and Ho^{3+} in glass and glass ceramic.

Energy transfer		N (phonons-assist) (%)			$C_{DA(D)}$($\times10^{-41}$ cm^6/s)
Yb^{3+}:$^2F_{5/2}$→Ho^{3+}:$^5I_6$	G	0(27.19)	1(53.23)	2(19.53)	16.94
	GC	0(51.17)	1(31.14)	2(10.97)	0.59
Yb^{3+}:$^2F_{5/2}$→Yb^{3+}:$^2F_{5/2}$	G	0(97.30)	1(2.56)	2(0.14)	27.51
	GC	0(89.53)	1(9.45)	2(0.94)	16.45

4. Conclusion

In conclusion, Ho/Yb codoped transparent oxyfluoride glasses and glass ceramics have been prepared. The large ΔT (ΔT =T_{x2}-T_g=140°C) of the glass suggests that it has a good thermal stability. X-ray diffraction and transmission electron microscopy demonstrated the formation of $NaYF_4$ nanocrystals during heat treatment process. The decreased J–O intensity parameter Ω_2 in glass ceramic confirmed the incorporation of Ho^{3+} into $NaYF_4$ nanocrystals. The change of glass structure brought about by the formation of $NaYF_4$ nanocrystals was analyzed by raman spectra. Significantly enhanced visible upconversion emission and 2.85 μm emissions were achieved in glass ceramic under a 980 nm laser diode pumping. A broadband spectrum with a full-width at half-maximum close to 132 nm was obtained in the glass ceramic. Besides, the calculated peak emission cross section is 0.6×10^{-20} cm^2, suggesting the glass ceramic is a promising gain material that can be applied to broadband amplifiers in mid-infrared region. Based on emission spectra, energy transfer mechanisms in glass and glass ceramic were proposed, showing that the change of phonon energy environment around rare earth ions induced different dominant transitions in G and GC. Finally, we quantitatively investigated the energy transfer process, indicating that the change of phonon energy environment has a greater impact on phonon-assisted non-resonant energy transfer process than on resonant energy transfer process.

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