

## Theoretical-experimental evaluation of the photocatalytic activity of $\text{KCa}_2\text{Ta}_3$ .

### $\text{xNb}_x\text{O}_{10}$

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### Abstract:

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32  $\text{KCa}_2\text{Ta}_{3-x}\text{Nb}_x\text{O}_{10}$  samples were synthesized by solid-state reaction and evaluated  
33 on the hydroxylation of terephthalic acid under UVC irradiation. Computational  
34 simulations via DFT were carried out in order to study their structural and electronic  
35 properties. Theoretical results show good agreement with experimental data, regarding  
36 lattice parameters and band-gap energy values and indicated that the photocatalytic  
37 performance for hydroxyl radicals production is directly related to the degree of  
38 octahedral distortions in these materials.  
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### Keywords:

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48 DFT; Dion-Jacobson perovskite; tantalonibates; octahedra distortion  
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### Introduction:

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54 Dion-Jacobson-type (D-J) perovskites have drawn attention for their physical and  
55 chemical properties, particularly for their photocatalytic activity [1-4]. They have  
56 molecular formula  $A_x[B_{m-1}M_nO_{3n+1}]$  (where  $A$  is an alkaline ion;  $B$  an alkaline earth ion;  
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58  $M$  a transition metal and  $n$  indicates the number of  $[\text{MO}_6]$  octahedra that form each  
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1 perovskite-like slab) [5]. Some authors relate the photocatalytic activity of perovskites  
2 to the degree of octahedron distortion [6-8]. The main objective of this work was to  
3 study tantaloniobates ( $\text{KCa}_2\text{Ta}_{3-x}\text{Nb}_x\text{O}_{10}$   $x = 0, 1$  and  $2$ ) with D-J perovskite structure  
4 and to evaluate the effect of Ta:Nb ratio on their structural and photocatalytic properties.  
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### 8 **Experimental:**

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10 Materials were synthesized by solid-state reaction using carbonates or oxides as  
11 precursors under temperatures between 1100 and 1200 °C. Synthesized materials were  
12 characterized by X-ray diffraction (XRD, Bruker D8 Advance,  $\text{CuK}\alpha 1$ ), Diffuse  
13 Reflectance Spectroscopy (DRS, Varian Cary 100 UV–Vis, 250-800 nm), Raman  
14 spectroscopy (Renishaw inVia Raman Microscope, 514 nm laser wavelength), SEM-  
15 EDXS (Jeol JSM 7100 F microscope, operating at 10 kV for Energy Dispersive X-ray  
16 Spectroscopy analyses (EDXS) using an Oxford Instruments AZtec system). Formation  
17 of hydroxyl radicals was evaluated by the photohydroxylation of terephthalic acid (TA),  
18 used as probe at room temperature [9], under conditions previously described in the  
19 literature [10]. A blank test was performed with TA solution irradiation without  
20 photocatalyst. Formation of the luminescent 2-hydroxyterephthalic acid (HTA) was  
21 evaluated by spectrofluorimetry (Shimadzu RF-5301PC). Further details of syntheses  
22 and characterizations are displayed in the Supplementary Data (SD-01).  
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### 36 **Computational details:**

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38 Periodic DFT calculations were performed with CRYSTAL17 software [11] by  
39 using the global hybrid functional PBE0.  $\text{KCa}_2\text{Ta}_3\text{O}_{10}$  and  $\text{KCa}_2\text{Nb}_3\text{O}_{10}$  are layered  
40 perovskites with orthorhombic structures ( $C222$  and  $Cmcm$  space groups respectively)  
41 and a slab constituted by the stacking of three corner-connected octahedra  $[\text{Nb}/\text{TaO}_6]$ . In  
42 the absence of information about  $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$  and  $\text{KCa}_2\text{Ta}_2\text{NbO}_{10}$  symmetry space  
43 group and for purpose of comparison, all materials were calculated in the  $\text{KCa}_2\text{Ta}_3\text{O}_{10}$   
44 ( $C222$ ) space group. There are two distinct crystallographic positions for the transition  
45 metals, two equivalent octahedra (Oct1) constituting the slab edges while the other one  
46 (Oct2) forms the inner layer. For purposes of computational models depending on the  
47 chemical composition Nb and/or Ta were ascribed to either crystallographic positions  
48 without considering any mixed occupations. Band structure and density of states (DOS)  
49 calculations were plotted employing the same  $k$ -points set as the diagonalization of the  
50 Fock matrix for optimization process. Distortion index was based on bond lengths as  
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proposed by Baur [12] and the effective coordination number was calculated as the sum of the so-called “bond weight” of all polyhedron bonds. Further details of computational simulations are displayed in Supplementary Data (SD-02).

## Results and Discussion:

XRD patterns (Figure 1(1)) confirmed the formation of the targeted phases with small amount of  $\text{KNbO}_3$ . Progressive substitution of tantalum by niobium atoms in the structure did not significantly modify the observed reflections except for small displacements of the peaks.  $\text{KCa}_2\text{Ta}_3\text{O}_{10}$  pattern was indexed by ICDD 01-089-8542, in agreement to literature [2]. No significant morphological changes were observed for the synthesized materials, as evidenced by SEM results (SD-03) and large surfaces assigned to (0 1 0) planes were observed [13]. Stoichiometry of the samples was confirmed by SEM-EDXS (Table 1).

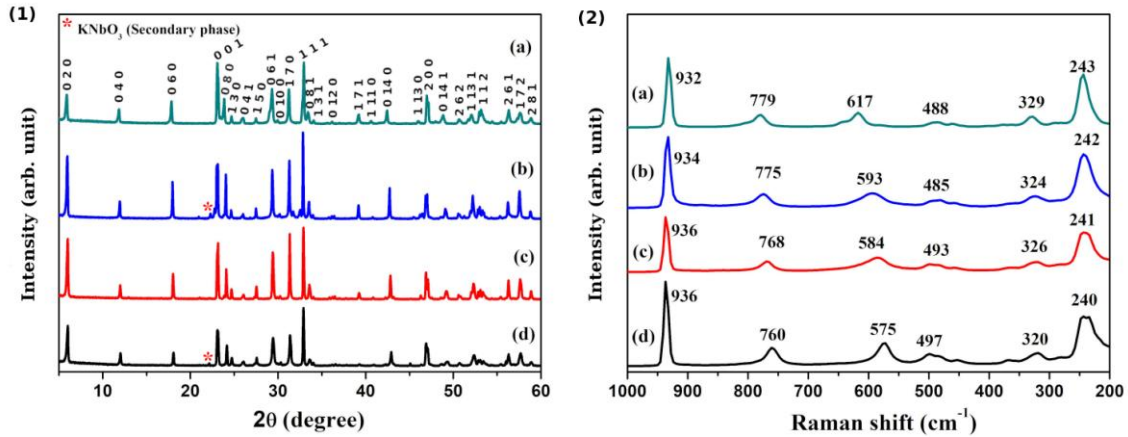


Figure 1 – XRD patterns (1) and Raman spectra (2) of  $\text{KCa}_2\text{Ta}_3\text{O}_{10}$  (a),  $\text{KCa}_2\text{Ta}_2\text{NbO}_{10}$  (b),  $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$  (c),  $\text{KCa}_2\text{Nb}_3\text{O}_{10}$  (d).

Table 1 – Experimental composition and band-gap energy ( $E_g$ ) of the synthesized materials compared to theoretical results.

Samples	Experimental Results			Theoretical Results		
	Composition	$E_g$ (eV)	$a$ (Å)	$b$ (Å)	$c$ (Å)	$E_g$ (eV)
$\text{KCa}_2\text{Ta}_3\text{O}_{10}$	$\text{K}_{0.8}\text{Ca}_2\text{Ta}_{2.9}\text{O}_{10}$	4.2	3.9272 (+1.59 %)*	28.533 (-4.18 %)*	3.8856 (+0.87 %)*	4.29
$\text{KCa}_2\text{Ta}_2\text{NbO}_{10}$	$\text{K}_{1.1}\text{Ca}_2\text{Ta}_{2.1}\text{Nb}_{0.8}\text{O}_{10}$	3.8	3.9708	28.539	3.8810	3.68
$\text{KCa}_2\text{TaNb}_2\text{O}_{10}$	$\text{K}_{1.2}\text{Ca}_2\text{TaNb}_{1.9}\text{O}_{10}$	3.6	3.9530	28.552	3.9161	3.46
$\text{KCa}_2\text{Nb}_3\text{O}_{10}$	$\text{K}_{1.2}\text{Ca}_2\text{Nb}_{2.8}\text{O}_{10}$	3.5	3.9824	28.480	3.9169	3.41

\* Percent deviations from the lattice parameters of ICDD 01-089-8542.

Raman spectra (Figure 1(2)) evidenced the existence of two different types of octahedra, a highly distorted one (Oct1), which occupies the slab edge (bands around 930 and 600  $\text{cm}^{-1}$ ) and a slightly distorted one (Oct2) that occupies the lamella center (bands around 770  $\text{cm}^{-1}$ ). These patterns are in good agreement with the literature [14]. The substitution of Ta by Nb caused a displacement of the bands around 770 and 600  $\text{cm}^{-1}$  to smaller wavenumbers.

Band-gap energies of the synthesized materials were calculated using the Kubelka-Munk formalism [15] from DRS results (SD-04) and confirmed the downward trend as Ta is replaced by Nb (Table 1).

Photogeneration of hydroxyl radicals was evaluated by HTA formation, as function of reaction time (Figures 2(1) and 2(2)). All of the materials had some activity when compared to the blank test, while  $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$  sample presented a much higher yield. The secondary phase ( $\text{KNbO}_3$ ) showed no activity for this reaction. Literature results [3] indicate that no  $\cdot\text{OH}$  radicals were generated for 2D-2D  $g\text{-C}_3\text{N}_4/\text{KCa}_2\text{Nb}_3\text{O}_{10}$  nanosheet heterojunctions.

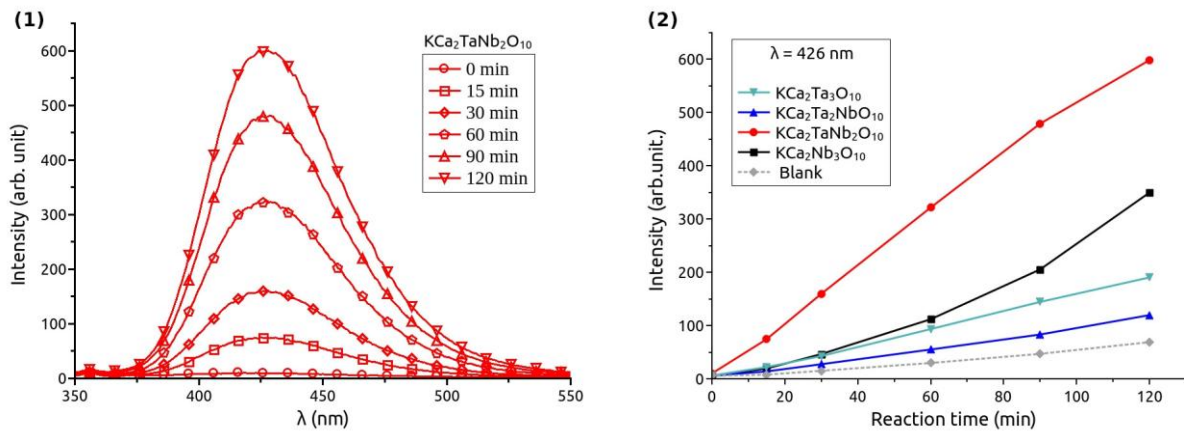


Figure 2 – Fluorescence spectra of HTA formed in the reaction catalyzed by  $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$  (1). Maximum intensity of HTA fluorescence produced by photohydroxylation of TA as a function of reaction time (2).

In an attempt to better understand the photocatalytic results, computational simulations were carried out focusing on structural and electronic aspects of the  $\text{KCa}_2\text{Ta}_{3-x}\text{Nb}_x\text{O}_{10}$  phases. Similar data were not found in the literature for these systems. Table 1 depicts the calculated cell parameters and the resulting deviations which were very low. Band structure (Figure SD-05) showed that all materials have indirect band-

1 gap ( $X-\Gamma$ ) and quite similar values were observed when comparing experimentally and  
2 theoretically calculated band-gap values (Table 1). These results, associated with XRD  
3 patterns for all samples, suggested that the previously assumed space group was valid.  
4 The calculated atomic positions were used to construct the structures shown in Figure 3.  
5 Regardless the atom that occupied the octahedral site of the layer edge (Oct1), this  
6 octahedron presented greater distortion than the one in the inner layer (Oct2). However,  
7 when Nb occupied this site, with Ta in the central position ( $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$ ), the highest  
8 distortion index of these octahedra took place (Figure 3). This distortion was so  
9 pronounced that this Nb tended to 5-fold coordination, due to the high value of the axial  
10 Nb-O bond length, directed to the  $[\text{TaO}_6]$  octahedron. A tendency to increase tilting  
11 between octahedra along  $a$  axis, culminating with a decrease of approximately  $18^\circ$  in the  
12 Nb-O-Nb bond, can also be observed for  $\text{KCa}_2\text{TaNb}_2\text{O}_{10}$  (Figure SD-06).  
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22 A marked shift of the Fermi level towards the conduction band (CB) was also  
23 observed in the band structure (Figure SD-04), similar to Burstein-Moss shift [16],  
24 which indicates the need to expand the electronic evaluation of theoretical calculations.  
25 According to DOS (Figure SD-05) the valence band (VB) was mainly constituted by  
26 oxygen atoms, whereas the conduction band had greater participation of metal atoms. A  
27 detail of VB and CB edges is presented in Figure 3.  
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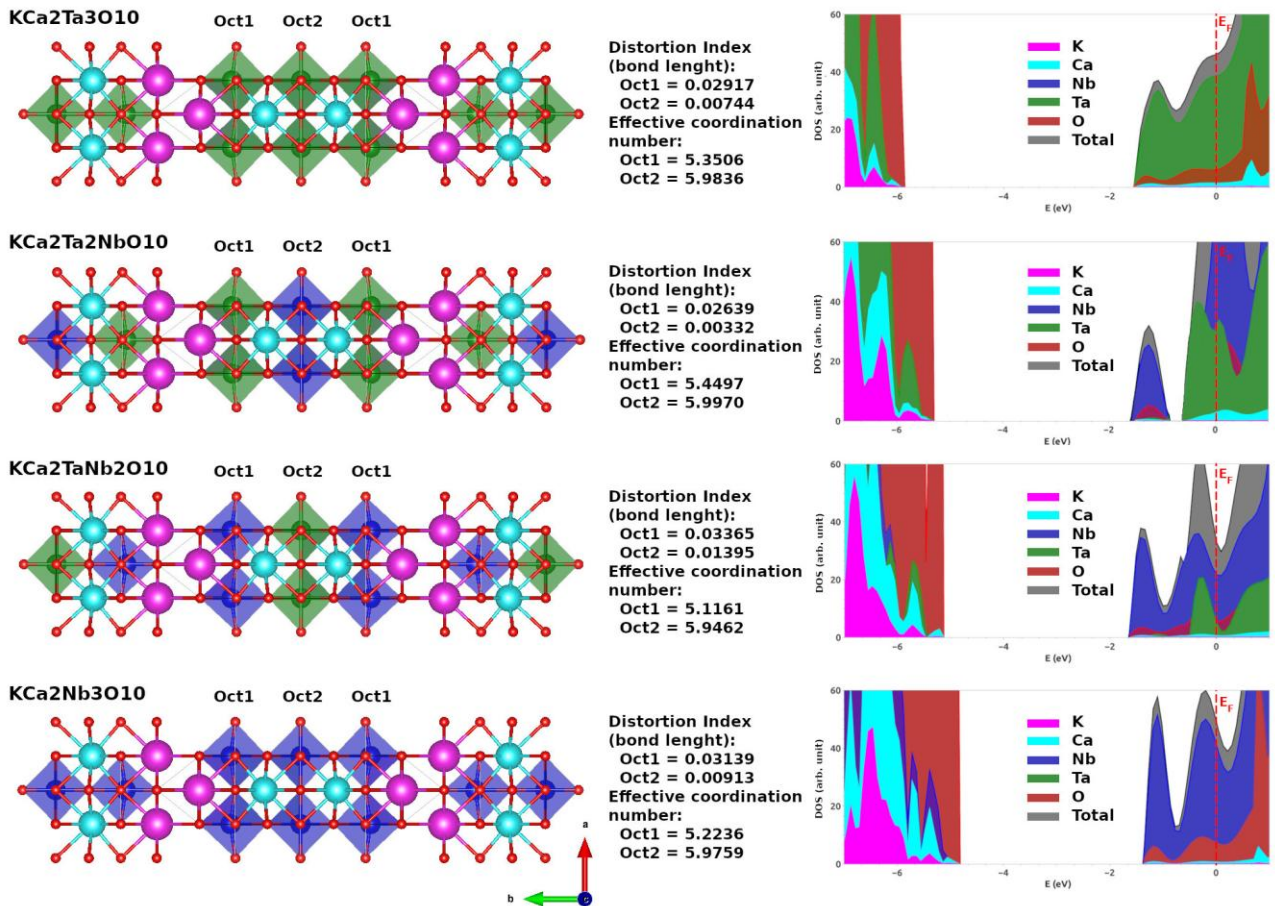


Figure 3 - Structural representation of the  $\text{KCa}_2\text{Ta}_{3-x}\text{Nb}_x\text{O}_{10}$  phases, with octahedron distortion index, Nb/Ta effective coordination number and DOS results.

Due to its higher electronegativity, as usually assigned [8] 4d states of Nb lies lower than 5d state of Ta and thus participates preponderantly to the CB edge for all Nb-containing structures, regardless of its proportion or its location. A deepening of theoretical calculations regarding electronic effects is already underway and will be released soon in the form of a new theoretical article.

Correlating the experimental data of hydroxyl radicals photogeneration with theoretical calculations results, it is evident that the increasing order of photocatalytic activity is exactly the same for the octahedron distortion index in this triple-layered D-J perovskite. The evidence that the photocatalytic activity of these materials may be related to both the distortion index of the octahedra and the DOS of the CB is in agreement with the literature [6-8].

## Conclusion:

1 Tantaloniobates with DJ perovskite structure were successfully synthesized by  
2 solid-state reaction. The [MO<sub>6</sub>] octahedron distortion index and the composition of the  
3 CB edge determined by theoretical calculations showed a great dependence on the type  
4 of element that occupies the *M* sites of the structure. Activity for hydroxyl radical  
5 photogeneration followed exactly the same increasing order of the octahedron distortion  
6 index, which is KCa<sub>2</sub>Ta<sub>2</sub>NbO<sub>10</sub> < KCa<sub>2</sub>Ta<sub>3</sub>O<sub>10</sub> < KCa<sub>2</sub>Nb<sub>3</sub>O<sub>10</sub> < KCa<sub>2</sub>TaNb<sub>2</sub>O<sub>10</sub>.  
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### 10 11 12 **Conflicts of Interest:**

13 None.  
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24 performed on CMEBA platform (ScanMAT unit, UMS 2001, University of Rennes 1-  
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